#2

#### EXPRESS MAIL CERTIFICATE

"Express Mail" EL 852681251 US Date of Deposit: June 28, 2001

I hereby certify that this INFORMATION DISCLOSURE STATEMENT AND FORM PTO-1449 are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231

Barbara J. Whaley

PATENT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

CHIANG, et al.

FOR

: HEURISTIC KNOWLEDGE PORTAL

SERIAL NO.

Unknown

FILED

Herewith

EXAMINER

: Unknown

ART UNIT

Unknown

LAST OFFICE ACTION

None

ATTORNEY DOCKET NO.

IBM 2 0010

SVL920010028US1

Cleveland, Ohio 44114-2518

June 28, 2001

#### INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, 1.98 and MPEP § 609, applicants submit the following Disclosure Statement concerning art of which the applicants are aware. A copy of PTO-1449 is enclosed herewith.

Under Rule 98(a)(3), no concise explanation of relevance is required for information that is in the English language. Accordingly, the enclosed patents require no further explanation (or no translation is available).

#### U.S. Patents:

5,050,071 to Harris, et al. AA-5,708,825 to Sotomayor AB-5,918,236 to Wical AC-AD-5,940,820 to Kagiwada 5,963,965 to AE-Voqel Murata, et al. AF-6,029,180 to 6,031,537 to Hugh AG-6,038,935 to Bates, et al. AH-AI-6,054,989 to Robertson, et al. 6,075,530 to Lucas, et al. AJ-6,088,032 to Mackinlay AK-6/133,913 to White, et al. AL--MA 6,133,914 to Rogers, et al.

# Other Art:

- AO- 1) PLUSPAT/INSPEC Abstract Printouts
- AP- INTERNET:
  - Miller, et al., "SPINX: A Framework for Creating Personal, Site-Specific Web Crawlers," 1998/1999;
  - 2) MacroBot Fact Sheet;
- AQ- 1) Poskanzer, "Spider A Web-Robot Class," 1996;
  - 2) Cyrosoft, DISCo Pump offline browser, 2000;
- AR- 1) IBM, Mapuccino;
- AS- 2) Spetka, "The TkWWW Robot: Beyond Browsing," SUNY Institute of Technology at Utica/Rome;
- AT- 1) TrackerV3, Advanced File System Explorer, 2000.

## WITHIN THREE MONTHS OF FILING

Under Rule 97(b)(1), this information disclosure statement is being filed within three months of the filing date of the application. Therefore, no fee is necessary.

Respectfully submitted,

FAY, SHARPE, FAGAN, MINNICH & MCKEE, LLP

Michael E. Hudzinski

Reg. No. 34,185

1100 Superior Avenue

Seventh Floor

Cleveland, Ohio 44114-2518

(216) 861-5582

L:\BJW\DATA\2001\MJE\1BM2010.IDS

Serial No.: Unknow Atty. Docket No.: IBM 2 0010 Subst. Form PTO-1449 Applicant(s): Alice Chiang, et al. APPLICANT'S(S') INFORMATION DISCLOSURE STATEMENT Group: Unknown Filing Date: Herewith 9/ U.S. PATENT DOCUMENTS Filing Date Class Subcl. Document No. Date Name Initial\* 11/4/88 Harris, et al. AA 5,050,071 9/17/91 5/26/95 5,708,825 1/13/98 Sotomayor AB 6/28/96 5,918,236 6/29/99 Wical AC 8/17/99 Kagiwada 3/31/97 5,940,820 AD 2/18/97 5,963,965 10/5/99 Vogel ΑE 3/6/97 Murata, et al. 6,029,180 2/22/00 AF 7/14/97 2/29/00 Hugh 6,031,537 AG 4/28/98 3/14/00 Bates, et al. AΗ 6,038,935 9/14/98 6,054,989 4/25/00 Robertson, et AΙ al. 6,075,530 6/13/00 Lucas, et al. 4/17/97 ΑJ 10/4/96 6/11/00 Mackinlay ΑK 6,088,032 3/29/99 10/17/00 White, et al. 6/133,913 AL 1/7/98 10/17/00 Rogers, et al. ΑM 6,133,914 FOREIGN PATENT DOCUMENTS Class Subcl. Translation Document No. Country Date AN OTHER ART PLUSPAT/INSPEC Abstract Printouts 1) ΑO INTERNET: 1) Miller, et al., "SPINX: A Framework for Creating Personal, Site-AP Specific Web Crawlers," 1998/1999; MacroBot Fact Sheet; Poskanzer, "Spider - A Web-Robot Class," 1996; 3) ΑQ Cyrosoft, DISCo Pump offline browser, 2000; 4) AR 5) IBM, Mapuccino; Spetka, "The TkWWW Robot: Beyond Browsing," SUNY Institute of AS Technology at Utica/Rome; TrackerV3, Advanced File System Explorer, 2000. AT Date Considered: Examiner: EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if in conformance and not

considered. Include copy of this form with next communication to applicant.

L:\BJW\DATA\2001\MJE\IBM2010.149